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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/864,112	05/24/2001	Denise Marie Genty	AUS9-2001-0425-US1	1464

40412 7590 03/22/2005

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EXAMINER

ZAND, KAMBIZ

ART UNIT	PAPER NUMBER
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2132

DATE MAILED: 03/22/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

Application No.

09/864,112

Applicant(s)

GENTY ET AL.

Examiner

Kambiz Zand

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-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 24 May 2001.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 7-10, 13-17 and 20 is/are rejected.
- 7) ☒ Claim(s) 5, 6, 11, 12, 18 and 19 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 May 2001 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>09/16/2004</u> . | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. **Claims 1-20** have been examined.

#### ***Information Disclosure Statement PTO-1449***

2. The Information Disclosure Statement submitted by applicant on 09/24/2004 has been considered. Please see attached PTO-1449.

#### ***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. **Claims 1-4, 7, 8-10, 13-17 and 20** are rejected under 35 U.S.C. 102(e) as being anticipated by Perlman (2002/0099668 A1).

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**As per claims 1, 8 and 14** Perlman (2002/0099668 A1) teach a method, an information handling system and a computer program product stored on a computer operable medium for retrieving CRL information, said method comprising: receiving a list of one or more servers where the CRL information is stored, the servers each having an identifier; determining which of the servers to contact based on the identifier; and selecting an access method to use to retrieve the CRL information based on the determined server identifier (see pages 1-5 text).

**As per claims 3 and 16** Perlman (2002/0099668 A1) teach the method, the information handling system and the computer program product stored on a computer operable medium as described in claims 1 and 14 wherein the list of servers is retrieved from a digital certificate corresponding to a remote computer system (see fig.1 and 3 and associated text).

**As per claims 7, 13 and 20** Perlman (2002/0099668 A1) teach the method, the information handling system and the computer program product stored on a computer operable medium as described in claims 1, 8 and 14 further comprising retrieving the CRL information from the determined server using the selected access method (see page 4-5 and fig.3 and associated text).

***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. **Claims 2, 4, 9, 10, 15 and 17** are rejected under 35 U.S.C. 103(a) as being unpatentable over Perlman (2002/0099668 A1) in view of Doggett et al (5,677,955).

**As per claims 2, 9 and 15** Perlman (2002/0099668 A1) teach all limitation of the claim but do not disclose explicitly wherein the access methods are selected from a group consisting of FTP, LDAP, and HTTP. However Doggett et al (5,677,955) teach wherein the access methods are selected from a group consisting of FTP, LDAP, and HTTP (see col.12, lines 33-53). It would have been obvious to one of ordinary skill in the art at the time the invention was made to utilize Doggett's http access method selection in Perlman's efficient RCL in order to use the server internet protocol.

**As per claims 4, 10 and 17** Perlman (2002/0099668 A1) teach all limitation of the claims but do not explicitly disclose wherein the determining further includes: comparing the identifiers corresponding to the servers with a current domain address; and

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selecting one of the servers in response to the server's identifier matching the current domain address (see col.12). It would have been obvious to one of ordinary skilled in the art at the time the invention was made to utilize Doggets's http access method selection in Perlman's efficient RCL in order to use the server internet protocol.

### ***Allowable Subject Matter***

7. **Claims 5, 6, 11, 12, 18 and 19** are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

### **Conclusion**

8. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

Please see the list of the references as recorded on enclosed PTO-892.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kambiz Zand whose telephone number is (571) 272-3811. The examiner can normally reached on Monday-Thursday (8:00-5:00). If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571) 272-3799. The fax phone numbers for the organization where this application or proceeding is assigned as (703) 872-9306. Information regarding the status of an application may be

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obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).



Kambiz Zand

03/18/05